

**Amendment Under 37 C.F.R. § 1.116
Expedited Procedure – Art Unit 3694**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Ian Kenneth SHEPHERD

Appl. No.: 10/615,796

Filed: July 10, 2003

For: **Methods and Apparatus Relating
to the Formulation and Trading of
Investment Contracts**

Confirmation No.: 1916

Art Unit: 3694

Examiner: Greene, Daniel Lawson

Atty. Docket No.: 2151.0050002

Amendment and Reply Under 37 C.F.R. § 1.116

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

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Sir:

In reply to the Office Action dated February 5, 2009, Applicant submits the following Amendment and Remarks.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks and Arguments begin on page 6 of this paper.

It is not believed that extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefor (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 19-0036.